

REVIEW

Re-purposing cryoablation: a combinatorial ‘therapy’ for the destruction of tissue

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It is now recognized that the tumor microenvironment creates a protective neo-tissue that isolates the tumor from the various defense strategies of the body. Evidence demonstrates that, with successive therapeutic attempts, cancer cells acquire resistance to individual treatment modalities. For example, exposure to cytotoxic drugs results in the survival of approximately 20–30% of the cancer cells as only dividing cells succumb to each toxic exposure. With follow-up treatments, each additional dose results in tumor-associated fibroblasts secreting surface-protective proteins, which enhance cancer cell resistance. Similar outcomes are reported following radiotherapy. These defensive strategies are indicative of evolved capabilities of cancer to assure successful tumor growth through well-established anti-tumor-protective adaptations. As such, successful cancer management requires the activation of multiple cellular ‘kill switches’ to prevent initiation of diverse protective adaptations. Thermal therapies are unique treatment modalities typically applied as monotherapies (without repetition) thereby denying cancer cells the opportunity to express defensive mutations. Further, the destructive mechanisms of action involved with cryoablation (CA) include both physical and molecular insults resulting in the disruption of multiple defensive strategies that are not cell cycle dependent and adds a damaging structural (physical) element. This review discusses the application and clinical outcomes of CA with an emphasis on the mechanisms of cell death induced by structural, metabolic, vascular and immune processes. The induction of diverse cell death cascades, resulting in the activation of apoptosis and necrosis, allows CA to be characterized as a combinatorial treatment modality. Our understanding of these mechanisms now supports adjunctive therapies that can augment cell death pathways.

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INTRODUCTION

The modern era of cryoablation (CA) (cryosurgery) began inauspiciously but with lasting impact with the development of a closed tip cryoprobe to treat Parkinsonism and related motion disorders.^{1–3} This clinical experience demonstrated that precise, safe and effective cryoablative procedures were possible. In the decades that followed, a wide spectrum of disease states were addressed with impressive results,⁴ but in some cases serious comorbidities⁵ resulted from freezing beyond the targeted tissue boundary as intra-operative visualization methodologies had not yet been developed. In the early 1990s intra-operative, real-time ultrasound was joined with CA⁶ resulting in the growth of CA through the late 1990s and early 2000s.⁷ Today, there is a growing list of disease states targeted with curative intent as our understanding of cancer’s responses to low temperature has expanded (that is, ice per se is only a part of the story).⁸ Advancements in imaging, treatment planning and devices along with the growing recognition of the curative limitations of radiation and chemotherapy^{9–12} provide CA with new clinical opportunities.¹³

To better understand this opportunity, the manner in which we understand cancer has undergone a paradigm change. First, there is a growing acceptance that cancer is an age-related, natural (evolutionary) process. Seventy-seven percent of cancers are diagnosed in individuals aged > 55 years.¹⁴

Second, the historic view of cancer as a clonal population that has lost reproductive control has evolved dramatically with the recognition of the significance of the integrated nature of the tumor microenvironment and the concept of the ‘cell of origin’ moving from that of a singular, cancer-initiating cell to that of a resistant population of cancer stem cells (CSCs).¹⁵ We now recognize that cancer presents a ‘moving target’ through continuous mutation and recruitment of stromal cells to support tumor growth, which aids in cancer’s ability to defeat today’s ‘gold standard’ monotherapies.

THE BIOLOGY OF CANCER AND THE TUMOR MICROENVIRONMENT

Our understanding of cancer has changed in recent years due primarily to the emergence of three sentinel concepts. Following an initial report by Bonner and Dick¹⁶ in which CSCs were first identified, CSCs are now widely accepted as a key element of tumorigenesis and the likely cell-of-origin or tumor-initiating cell. CSCs are thought to give rise to additional cancer cells both within a primary tumor and at secondary loci as well as demonstrate a capacity to dedifferentiate to form serosal cells and to resist radiation and chemotherapeutic challenges. In addition, CSCs contribute to the recruitment and ‘activation’ of serosal cells that

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Table 1. Hallmarks of cancer

Proliferative signaling
Evade growth suppressors
Resist apoptosis
Induce angiogenesis
Avoid immune system destruction
Reprogram cellular energetics
Enable replicative immortality (CSC)
Recruit non-cancerous stromal cell to create microenvironment
Mobilization = metastasis

Abbreviation: CSC, cancer stem cell.

assume diverse cancer-supporting functions. As the communication links establish between the serosal cells, CSCs and cancer cells, a supportive tumor microenvironment is established. Tumor-associated (activated) serosal cells include endothelial cells that assist in the formation of new blood vessels, fibroblasts with highly diverse functions, including transitions to cancer cells and CSCs, various immune cells resident to the tumor that function to interfere with immuno-surveillance and the reprogramming of mesenchymal stem cells in support of differentiation plasticity.¹⁷ The 'hallmarks of cancer' further provide insight into the defensive strategies that the tumor microenvironment activates in the face of a therapeutic challenge.¹⁸ These characteristics are common biological responses that function to assure cancer survival and growth (Table 1). Repeating monotherapies induce mutation in cellular targets resulting in the activation of defensive strategies. By recognizing the adaptive characteristics of cancers that confer therapeutic resistance, we are better positioned to understand both the benefits and limitations of available treatment options.

IMPACT OF CANCER BIOLOGY AND TUMOR MICROENVIRONMENT ON THERAPEUTIC OUTCOME

An understanding of the scope of the defensive responses is essential to the development of new therapies as multiple 'hallmarks' must be simultaneously targeted. Cancer cells *in vivo* are not necessarily self-sustaining but often rely on cell–cell signaling from a diverse population of stromal cells. Whether paracrine or juxtacrine in origin, multiple agents (that is, hormones, cytokines, chemokines and proteases) constitute essential signaling pathways that support tumor progression. Stromal cells, including endothelial cells, fibroblasts, lymphocytes, macrophages and pericytes, may 'activate' to create a desmoplastic stroma that functions as a complex cross-talk network to support neoangiogenesis, tumor cell proliferation, survival and therapeutic avoidance, migration and invasion.¹⁹ The activated stroma also constitutes a defensive organization of the microenvironment to assure survival through further mutation, epigenetic response and upregulation of pro-survival genes. Hence, the combined defensive capabilities of the tumor microenvironment often defeat the curative intent of today's monotherapies.^{10,11} For example, following radiation therapy for prostate cancer, > 25% of patients will have positive biopsies and up to one-third will receive secondary radiation treatment for cancer recurrence. Radio-resistant prostate cancer is now the fourth most common genitourinary malignancy and is representative of an emerging epidemic.²⁰

Central issues with both radiation and chemotherapy relate to the biology of cancer. Both therapeutic strategies primarily attack actively dividing cancer cells in specific cell cycle stages. Non-dividing cancer and CSCs are weakly affected. To address this, treatment plans are repetitive by design and aimed at inducing death in cells as cells progress through the cell cycle. Unfortunately, repetitive treatment accelerates the appearance of protective (defensive) mutations, resulting in a selected cancer

Table 2. Mechanisms of cryoablation

<i>Hypothermia</i>	
Metabolic uncoupling	Molecular
Energy deprivation	Molecular
Free radical—waste accumulation	Molecular
Ionic imbalance	Molecular
pH shifts (acidosis)	Molecular
Membrane phase transitions	Molecular—structural
Cytoskeletal disassembly	Molecular—structural
<i>Freezing</i>	
Extracellular ice	Molecular—structural
Hyperosmolality	Molecular
Cell volume disruption	Molecular—structural
Protein denaturation	Molecular
Intracellular ice	Structural—molecular
Shearing and membrane disruption	Structural
Vascular stasis	Molecular
Activation of 'local' immune response	Molecular

population refractory to re-treatment, alternative attempts at therapeutic intervention²¹ and the induction of CSC quiescence.²²

Accordingly, today's challenge is to develop new treatment options that are (a) non-repetitive denying cancer cells the ability to activate defensive mutations; (b) capable of activating multiple cell death cascades thereby limiting cancer's ability to induce survival mechanisms; (c) not susceptible or dependent on cell cycle and (d) destructive of all cells within the tumor microenvironment eliminating both cancer cells and CSCs while launching a local immunological response not influenced by tumor-associated immune cells.

MECHANISMS OF CA PRECISION

An understanding of the cell-based responses to a freeze insult and the clinical schema for applying a freeze–thaw excursion reveal that a critical consequence of CA is the disruption of most of the hallmarks of cancer. This results in complete cell death provided a cell-specific nadir temperature is reached throughout the tumor. Within the frozen region where a cancer-specific nadir temperature is not attained (0° to –30°C, referred to as the 'margin of the iceball'), physical destruction is less prevalent. It is the activation of molecular-based cell death (apoptosis and necrosis) resulting from structural, metabolic, chemical, immune and vascular perturbations that become more dominant. As such, the ablative outcome is ultimately dependent on both physical (structural) events attendant to ice formation and the initiation of cell death cascades, which effectively short circuit cancer cell's defensive capabilities. It is now well established there are multiple modes of cell death associated with CA that are dependent on stress severity. These mechanisms, categorized into molecular (apoptosis and/or necrosis) or structural (physical), are initiated by a complex series of events caused by freezing (Table 2).

The application of CA follows a series of sequenced events that constitute a 'best practice' application of CA to deliver a 'therapeutic dose'²³ (Table 3). Following cryoprobe placement and activation, heat is extracted from the targeted tissue at variable rates depending on proximity to the probe and cooling capacity of the cryogen.^{8,24,25} For the tissue adjacent to the cryoprobe, rapid cooling rates form both extracellular and intracellular ice. As ice propagates distally from the cryoprobe, cooling rates are reduced wherein the cancer cells experience a myriad of other disruptive events and stress factors (Table 2), resulting in the activation of diverse cell death cascades.²⁶

As freezing progresses, ice will initially form outside the cell within the extracellular space compromising tissue morphology and abrading endothelial cells from the capillaries.^{6,27,28} Further, as extracellular ice forms, solutes are excluded causing osmotic pressure to rise from ~350 mOsm to ~8000 mOsm resulting in

cell dehydration and shrinkage creating a lethal hyperosmotic state. Ultimately, ice forms within the cell when temperatures are low enough. Rapid cooling at a rate of -20 to -50 °C min^{-1} limits cellular dehydration thereby supporting intracellular ice formation. Whereas, at slower cooling rates, cancer cells can effectively dehydrate and potentially avoid intracellular freezing.

Depending on the cryogen system employed, complete freezing is indicated by both the attainment of the targeted nadir temperature within the freeze zone and distal physical boundary of freeze zone (Figures 1 and 2). For example, argon cryoprobes form a ~ 3.0 -cm diameter freeze zone in ~ 10 min while a supercritical nitrogen cryoprobe will form the same size freeze zone in 3 min.^{29,30} Ice per se is not an indication of complete cell death. Cells die in progressively greater numbers as temperature is lowered. As cancer cells' death to low temperature is expressed as a curvilinear response, a robust nadir temperature is indicated. Gage and Baust³¹ provided a listing of cell-specific lethal temperatures but teach that -40 °C is a clinically relevant nadir for most cancers keeping in mind that some cells within the tumor microenvironment, such as CSCs or cells demonstrating further mutation following other therapies, may be more resistant.³²

The duration at the nadir temperature has not been studied extensively but maintenance for a period of 2–5 min is common practice. Warming is highly destructive and slow passive warming from surrounding unfrozen tissue is preferred. During thawing (Note: At the molecular level, ice surface melting begins as temperatures are elevated between -40 and -30 °C.), ice crystals re-arrange and coalesce into larger crystals (recrystallization) that may shear tissue anatomy (a phenomena akin to frost heaves on the roadway), and the functional duration of the damaging hyperosmolality is extended as is the interval of oxidative stress. An *in vitro* study of a set of parameters related to the thermal history experienced within a typical cryosurgical freeze zone suggested that in prostate cancer reducing the end temperature (-80 vs -20 °C) and/or increasing the hold time (15 vs 0 min) can increase cell death.³³ Another *in vitro* prostate cancer study

demonstrated that increased hold times (5 and 10 vs 15 min) at warmer subfreezing temperatures (that is, > -30 °C) yields greater cell death, whereas once -40 °C is reached, a hold time of ~ 1 –2 min achieves maximal cell destruction.³⁴

In practice, the nadir temperature is not found at the edge of the freeze zone but some distance within the frozen mass. This necessitates an extension of the freeze margin beyond the tumor boundary (Figure 1). In some cases the limited damage beyond the tumor boundary may be considered problematic (that is, prostate, heart, kidney and so on) due to co-morbidities. To address this, it is common practice when treating cancer with CA to apply a dual freeze cycle (freeze–thaw–freeze) providing a repeat set of stresses to augment the destructive effects of the first freeze and extend the lethal boundary (Figure 1). The interval (≥ 3 –5 min) between the first and second freeze is consequential. Following this interval, the second freeze is initiated in now hypothermic tissue, yielding more rapid and extended freezing. The lethal temperature of the targeted cells is elevated owing to the accumulation of stress factors.³⁵ As detailed below, current research is focused on the identification of freeze-sensitizing agents with the goal of functionally elevating the nadir temperature to approximately -1 °C.^{26,36–45}

The net outcome of CA is that each of the 'hallmarks' is challenged either on a molecular and/or physical level. In effect, CA, as an energy-depletion therapy, provides a combinatorial assault overwhelming a cancer cell's defensive responses and preventing further mutation. The ablative consequences of freezing follow a relatively defined chronology. Cells that rupture release cytokines and chemokines, which function to recruit circulating immune cells launching a local, as well as potentially a systemic, immune response.⁴⁶ This freeze rupture-dependent necrosis occurs during the first hour postthaw. Apoptotic processes begin upon thawing and reach maximum levels within 12–24 h. The timing and contribution of apoptosis and necrosis has been shown to vary based on cell's sensitivity to freezing.^{26,32,35,40} Indeed, endothelial cells are among the most freeze-sensitive cells.^{47–49} Thus, as endothelial cells slough or die, the underlying basement membrane of the microvasculature within the tumor is exposed leading to thrombosis and circulatory stasis within a few hours. Once the vasculature is static, the tumor becomes starved for oxygen and nutrients leading to 'ischemic necrosis' peaking within a week.⁴⁸ Throughout this period, cells that were damaged but not ruptured during freezing add to a cell death continuum. In association with inflammation, delayed necrosis may continue for weeks.

ADJUVANTS FOR CRYOSURGICAL ENHANCEMENT

In recent years, new adjuvants have been sought to increase the destructive effect of freezing in an attempt to increase tissue destruction in the iceball periphery where temperatures range from 0 °C to -40 °C, nominally. Incomplete cell destruction within

Event	Best practice
1. Cooling rate	Rapid
2. Nadir temperature	Variable (-40 °C nominal)
3. Duration at nadir temperature	5 minutes
4. Thaw rate	Slow—passive
5. Repetition of F–T cycle	2 ×
6. F–T interval	Duration to match freeze time
7. Second freeze	Repeat 1–4

Abbreviation: F–T, freeze–thaw. Note: Progression of the freeze event typically monitored by intra-operative, real-time ultrasound.

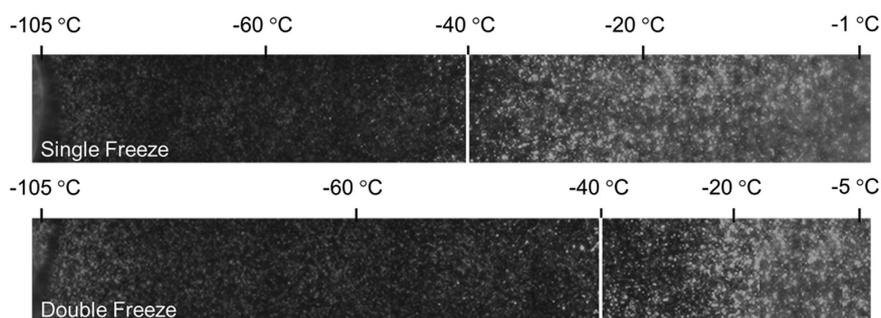


Figure 1. Comparison of the extent of cell death following a single or double freeze interval using a human prostate cancer cell TEM. TEM's were seeded with prostate cancer cells (pTEM) and the pTEMs were frozen using an argon JT cryoprobe following a single 10 min or double 10 min freeze protocol. pTEMs were assessed 24 hours following thawing using the Calcein-AM/P1 assay via fluorescence microscopy.

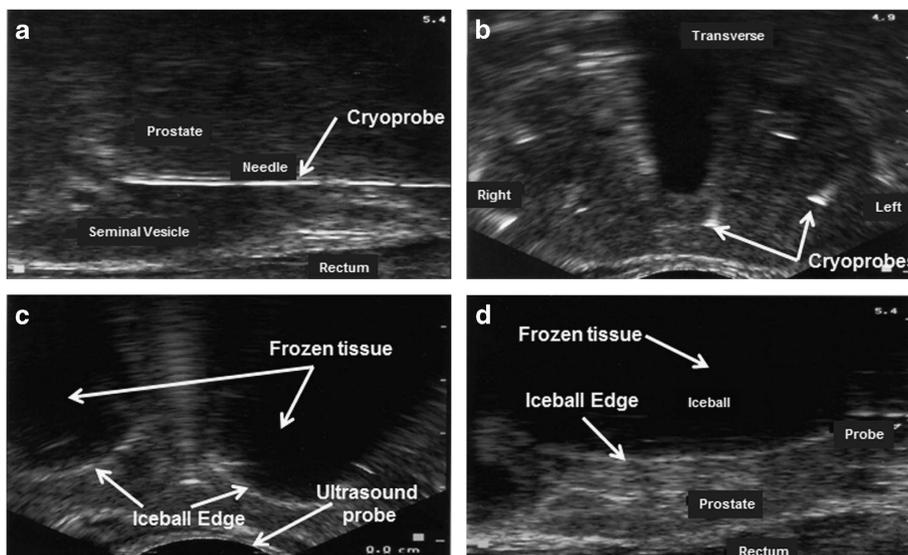


Figure 2. Ultrasound (US) images of a prostate during a cryosurgical procedure. (a) Sagittal US view during cryoprobe placement into the prostate. (b) Transverse view of the prostate following cryoprobe insertion. (c) US image of the prostate during freezing. Frozen tissue appears as a dark region due to the reflectance of the US waves. The edge of the frozen mass appears as a white hyperechoic rim. (d) Image of iceball as the frozen mass extends down towards the rectum and US probe.

the periphery makes it challenging to predict the outcome based on freeze zone imaging alone (ultrasound, computed tomography or magnetic resonance imaging).^{6,50} As such, two limitations remain in the use of cryosurgery for cancer: (1) tumor recurrence where non-lethal conditions are applied and (2) morbidity associated with freezing adjacent structures.⁵¹ Therefore, cryosurgical adjuvants are now being explored as a means of destroying a tumor more effectively during freezing to create an overlap of the iceball and effective kill zone. This would enable imaging technologies (including ultrasound, computed tomography and magnetic resonance imaging) to precisely visualize the 'lethal zone' (Figure 3). Whether or not adjunctive agents are employed, visualization of the advancing freeze zone with ultrasound is precise ($\pm 1\text{--}2\text{ mm}$). This adjunctive strategy is designed to accentuate the tissue injury mechanisms known to be induced by freezing. Further, much of this research has focused on the use of sub-lethal (minimally toxic) doses of agents that when combined with freezing results in a highly lethal '1–2 punch' to the targeted region.^{35,52} Four categories of cryoadjuvant have been reviewed by Goel *et al.*,³⁸ including (1) thermophysical adjuvants, (2) chemotherapeutics, (3) proinflammatory cytokines or vascular-based agents and (4) immunomodulators. These categories are non-exclusive. Further, other strategies, including TIA (targeted initiation or inhibition of apoptosis) and neutral-based sensitization, have also been reported to be effective when combined with freezing in both *in vitro* and animal studies.^{39,45,53} An overview of select cryoablative adjuvants studied is presented in Table 4 (*in vitro*) and Table 5 (*in vivo*).

Thermophysical adjuvants

Thermophysical adjuvants modify the shape of ice crystals during freezing enhancing the mechanical destruction of the cell membranes.^{54–57} These adjuvants include antifreeze proteins, salts (that is, NaCl, KCl) and some amino acids (that is, glycine). These adjuvants can enhance the threshold injury temperature up to $-5\text{ }^{\circ}\text{C}$.^{42,43,57,58} However, a major challenge is delivering high concentration to achieve the desired effect without causing toxicity.

Chemotherapeutics

The synergistic effect of CA and anticancer drugs (chemotherapeutics) was first reported using peplomycin and adriamycin in combination with freezing.⁵⁹ Synergy was due to the increase in vascular volume and permeability immediately after cryosurgery. Therefore the frozen tumor preferentially traps the anticancer drugs as compared with untreated tumor. As apoptosis has been recognized as a critical mode of cell death in CA, many studies have shown the benefits of using apoptosis inducers combined with CA to enhance cell destruction at mild temperatures (-40 to $-0.5\text{ }^{\circ}\text{C}$). These inducers include 5-fluorouracil, cisplatin, doxorubicin, mitomycin and bleomycin, vinorelbine, navelbine and tumor necrosis factor (TNF)-related apoptosis-inducing ligand.^{60–66} As most of these studies have been performed *in vitro*, there remains a need to repeat these studies *in vivo* to establish clinical benefit. Although further studies are needed, reports by Forest *et al.*^{62,63} have demonstrated the benefit and established feasibility of cryochemo combinations *in vivo*.

Pro-inflammatory cytokines and vascular agents

Several studies have shown that the pro-inflammatory cytokine TNF- α , with appropriate dose and timing, can be used with CA to destroy the tumor up to the freeze zone edge ($-0.5\text{ }^{\circ}\text{C}$).^{67,68} TNF- α is a multifunctional cytokine that can induce complex actions on the tumor cells, vasculature and immune cells by activating different intracellular pathways (apoptosis vs inflammation). Knowledge of the exact mechanisms of TNF- α enhancement following CA are being studied. In addition, as systemic toxicity induced by TNF- α is a major clinical limitation, more selective or targeted delivery of TNF- α using nanoparticles continues to be an area of active research.⁶⁹

Immunomodulators

As antigen-presenting cells (especially dendritic cells) have a pivotal role in the induction of adaptive immune response, several studies have shown an ability to enhance cryosurgical destruction by inducing and stimulating dendritic cells in the injury site

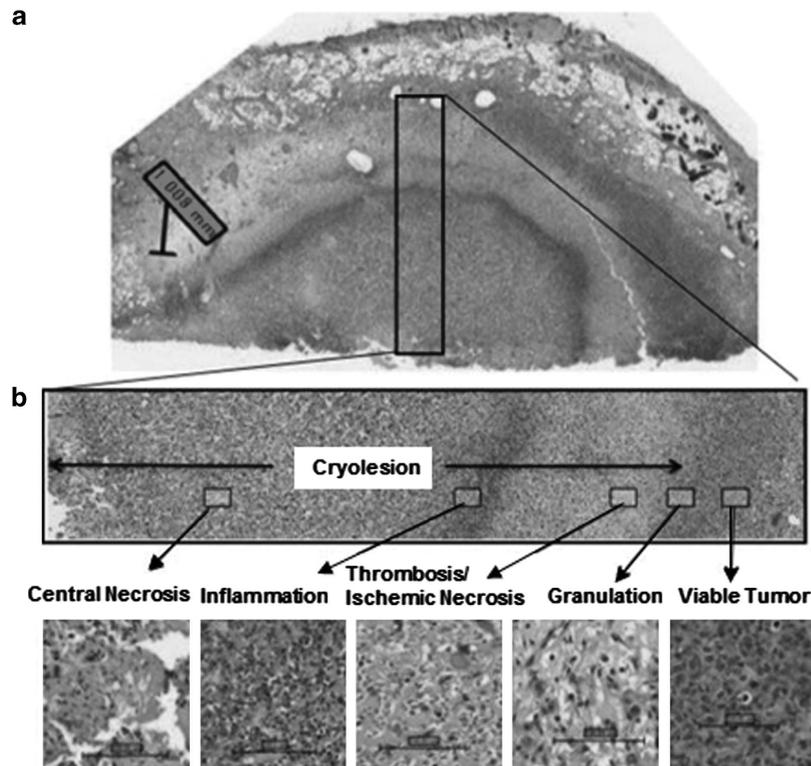


Figure 3. Identification of the zones of cell death within a tissue following cryosurgery. Five histological zones were evident after cryosurgery: central necrosis, inflammation, thrombosis/ischemic necrosis, granulation tissue influx, and viable tumor. **(a)** Demonstration of these histological zones at day 3 post cryosurgery (x20 objective; scale bar = 1 mm). **(b)** Demonstration of these histological zones at x40 objective magnification (scale bar = 100 μ m) (Adapted from Jiang, *et al.* Cryobiology, 2010).

Mechanism	Model	Adjuvant	Dose	Time	Reference	
Direct cell injury	Thermophysical adjuvant: ice crystals	Normal rat liver	ATP-1	10 mg ml ⁻¹	0–5 min before FT	54
		Rat prostate	Eutectic salts	Eutectic conc.	0–5 min before FT	42
Chemotherapeutics: apoptosis, antiproliferative	Human breast	Glycine	10 g ml ⁻¹		58	
	Human colorectal	Cisplatin	25 μ g ml ⁻¹	2 days before FT	52	
	Human prostate	5-FU	25 μ g ml ⁻¹	2–4 days before FT	60	
	Human prostate	5-FU ^a	25 μ g ml ⁻¹	2 days before FT	37	
	Human prostate	Cisplatin	25 μ g ml ⁻¹	2 days before FT	52	
	Human prostate	TRAIL	500 ng ml ⁻¹	0–5 min before FT	61	
	Human renal	5-FU ^a	100 μ g ml ⁻¹	24 h before freeze	81	
	Human hepatoma	Doxorubicin ^b	55 μ g ml ⁻¹	24 h before FT	66	
	Inflammatory cytokines: apoptosis, inflammation	Human prostate	TNF- α	1–1000 ng ml ⁻¹	4 h before FT	67
		Human endothelial	TNF- α	1–1000 ng ml ⁻¹	4 h before FT	67
Human skin		Imiquimod	100 ng ml ⁻¹	24 h before FT	75	
Human prostate		TNF- α	1 μ g ml ⁻¹	4 h before FT	68	

Abbreviations: FT, freeze–thaw; 5-FU, 5-fluorouracil; TNF, tumor necrosis factor; TRAIL, TNF-related apoptosis-inducing ligand. Adapted from Goel *et al.*³⁸
^aEnhancement was also reported with other drugs: cisplatin, etoposide, and taxotere. ^bEnhancement was also reported with other drugs: mitomycin, 5-FU, and cisplatin.

utilizing agents such as imiquimod, CpG-oligodeoxynucleotides, BCG-CWS (Bacillus Calmette–Guerin cell wall skeleton), GM-CSF (granulocyte macrophage-colony-stimulating factor) and Saponins.^{70–75} This topic has been recently reviewed⁴⁶ and is being more fully explored using anti-CTLA-4 antibody blocking.⁷⁶ The future selection and targeted use of immunomodulators

appears promising. However, challenges include the need to better understand and harness the immunological mechanisms stimulated by cryosurgery vs other forms of focal therapies, such as heat, irreversible electroporation or others.⁷⁷ Investment in research that addresses this will position cryosurgery as a technique to treat both local and systemic (that is, disseminated) disease.

Table 5. *In vivo* adjuvant for cryosurgical enhancement

Mechanism	Model	Adjuvant	Dose	Time	Reference
<i>Direct cell injury</i>					
Thermophysical adjuvant: ice crystals	Human prostate	AFP-1	10 mg, local	0–5 min before FT	57
	Rat prostate	Flounder AFP	0.5 mg, local	0–5 min before FT	56
Chemotherapeutics: apoptosis, antiproliferative	Human lung	Navalbine	120 µg, i.v.	Multiple time points	62
	Human lung	Vinblastine	120 µg, i.v.	20 h after FT, 15 days after FT	63
	Human prostate	5-FU	15–20 ng, local	0–5 min after FT	64
Vascular injury inflammatory cytokines: apoptosis, inflammation	Mouse prostate	Vitamin D ₃	4.0 µg kg ⁻¹ , local	18 h prior to FT	45
	Human prostate	TNF-α	0.2–1 µg, local	4 h before FT	67,68
	Human prostate	TNF-α	5 µg, i.v.	4 h before FT	67
Immune injury	Mouse melanoma	Imiquimod	3.12 mg, local	Up to 10 days after FT	72
	Mouse melanoma	CpG-ODN	100 µg, local	1 h after FT	73
	Mouse colon	BCG-CWS	200 µg	Days 1, 4, 7 and 10 after FT	70
	Mouse prostate	Anti-CTLA-4 mAb	200 µg	Days 1, 4, 7 and 10 after FT	76
	Mouse melanoma	Saponin	40 µl of 500 µg ml ⁻¹ sol	30 min after FT	74

Abbreviations: AFP, antifreeze proteins; BCG-CWS, Bacillus Calmette–Guerin cell wall skeleton; CpG-ODN, CpG-oligodeoxynucleotides; FT, freeze–thaw; 5-FU, 5-fluorouracil; i.v., intravenous; mAb, monoclonal antibody; TNF, tumor necrosis factor. Adapted from Goel *et al.*³⁸

Other areas of sensitization

Several other categories and agents have shown promise in increasing cancer cell's sensitivity to freezing. These include targeted initiation or inhibition of cell death or survival pathways⁷⁸ as well as exploration of natural anti-cancer compounds (neutraceuticals). These agents are designed to increase the lethality of mild freezing while having little or no negative side effects. Studies investigating the impact of cell attachment and signaling (integrin) inhibition,⁷⁹ AKT modulation⁸⁰ and caspase activation^{26,81} among others have shown benefit in enhancing cell death as well as have a key role in elucidating the mechanisms of action involved in cancer cell's response to freezing.

The success of cryosensitization strategies coupled with the desire to minimize side effects (both systemic and localized) support more recent efforts focused on the use of neutraceuticals such as vitamin D₃ (VD₃) and Resveratrol. *In vitro* and *in vivo* studies have demonstrated the benefits of Calcitriol (VD₃ analog) to increase prostate cancer's freeze sensitivity.^{39,45,53} These studies have shown that pretreatment with VD₃ results in complete cancer destruction at ~–5 °C, which correlates with the outer edge of the freeze zone under ultrasound. Other studies utilizing the free radical scavenger Resveratrol have shown that pretreatment of renal and prostate cancer cells sensitizes them to freeze injury yielding complete cell death at –10 °C *in vitro*.⁶¹ Given the promise of these and other reports, investigations and trials incorporating these agents are ongoing.

SYNOPSIS OF CRYOSURGICAL CLINICAL OUTCOME

In 2007, the American Urological Association published guidelines for the management of clinically localized prostate cancer.⁸² At that time, long-term randomized and controlled trials supporting the utility of cryosurgery compared with traditional radiation or surgery were lacking. As such, the 2007 meta-analysis of localized prostate cancer treatments did not include cryosurgery, leading to the release of a Best Practice Policy Statement on Cryosurgery for the Treatment of Localized Prostate Cancer²³ by the American Urological Association in 2008. This 2008 publication identified CA as a first-line therapy for localized prostate cancer based on numerous 5- and 7-year outcome reports and the first 10-year single-center patient follow-up report.⁸³

To better understand the oncological outcomes of CA reported in the literature, one must take into account that the technology

has evolved. Studies with the longest follow-up report on first-generation liquid nitrogen systems in combination with second- and third-generation argon gas devices. It should be noted, however, that treatment efficacy is dependent on target tissue exposure to nadir temperature and not necessarily the cryogen of choice. Finally, most early series grouped the various disease stages rather than separately reporting outcomes based on individual clinical stage.

Understanding indicators of success after treatment

There have not been well-established PSA level thresholds (for example, absolute PSA cut points) or kinetics to determine success/failure following prostate CA. This is reflected in a range of posttherapy nadir values reported based on criteria adapted from radiotherapy, including the ASTRO 1997 definition of three consecutive PSA rises after nadir and the 2006 Phoenix definition of PSA nadir+2 ng ml⁻¹.⁸⁴ Additionally, while posttherapy biopsy data are included in many reports, there are no established standards with some authors taking biopsies routinely at set time points while others reserve biopsy 'for cause with clinical suspicion' based on increasing PSA levels. Along with timing, the number and pattern of biopsies also varies.

Few studies have evaluated the association between biochemical relapse and biopsy-proven recurrence. Caso *et al.*⁸⁵ evaluated a number of PSA parameters that could predict biopsy-proven recurrence. Time of undetectable (<0.2 ng ml⁻¹) PSA was an independent predictor of recurrence with a 9% decrease in the risk of a positive biopsy for each additional month of undetectable PSA.⁸⁶ Levy *et al.*⁸⁷ found that postcryo nadir PSA correlated with biochemical disease-free survival (bDFS). A PSA level of >0.6 ng ml⁻¹ correlated with a 29% biochemical failure rate at 2 years regardless of D'Amico risk stratification. Pitman *et al.*⁸⁸ evaluated a number of biochemical definitions of failure compared with a 12-core surveillance biopsy performed in a cohort of patients with clinical suspicion of prostate cancer recurrence following primary, salvage and focal CA and found the Phoenix definition to be most accurate for biochemical failure.⁸⁸

Oncological outcomes: primary cryotherapy

Recognizing the range of definitions for biochemical recurrence used in the literature, overall biochemical progression-free survival has ranged between 56% and 92% based on patients treated with

primary prostate cryotherapy with at least 5-year outcomes.^{83,89} Long *et al.*⁹⁰ reported on 975 men with 5-year follow-up and using a disease-free PSA cut point of $< 0.5 \text{ ng ml}^{-1}$ found that 60% of men in the low- and intermediate-risk groups were bDFS. Bahn *et al.*⁹¹ reported on 590 men treated with CA having 7-year outcomes and using a PSA cut point of $< 0.5 \text{ ng ml}^{-1}$ reported bDFS rate of 64–70% in all the three D'Amico risk groups.⁹² Of note, in the studies by Bahn *et al.*⁹¹ and Long *et al.*,⁹⁰ using a more liberal PSA cut point of $< 1.0 \text{ ng ml}^{-1}$, bDFS ranged from 76% to 87%, 71–79% and 45–71% in the low-, intermediate- and high-risk categories, respectively. Cohen *et al.*⁸³ reported on 370 men with T1–T3 disease consecutively treated with primary cryotherapy with a median follow-up of 12.5 years and found an overall negative biopsy rate of 77%. Applying Phoenix, Kaplan–Meier curves identified bDFS rates of 81, 74 and 46% at 10 years for the low-, intermediate- and high-risk groups, respectively.

In an effort to overcome the paucity of single-center data, the Cryo On-Line Data (COLD) registry was created to pool clinical information. Comprising 6 academic medical centers and ~34 community urologists, the registry contains all CA outcome data from these sites. Data from the COLD registry show an average age of 70 ± 8 years for the 1198 patients treated. Pretreatment PSA averaged $9.6 \pm 8.6 \text{ ng ml}^{-1}$, and the median biopsy Gleason score was 7 (range: 4–10). Mean follow-up was 24 ± 26 months, although 136 men had at least 5-year outcomes. Overall bDFS was $77 \pm 2\%$ by ASTRO and $73 \pm 2\%$ by Phoenix. Based on ASTRO, bDFS was 85 ± 4 , 73 ± 4 and $75 \pm 4\%$ for the D'Amico low-, intermediate- and high-risk groups. Based on Phoenix, those same bDFS rates are 91 ± 3 , 79 ± 4 and $62 \pm 5\%$, respectively. Dhar *et al.*⁹³ reported on 860 men aged > 75 years in the COLD registry treated with primary prostate CA and found using ASTRO that the 5-year bDFS rates were 82 ± 8 , 78 ± 6 and $78 \pm 8\%$ for the D'Amico low-, intermediate- and high-risk groups.

Salvage prostate cryotherapy outcomes

Patients who fail radiation therapy and other *in situ* primary treatments represent a challenging group. These patients have high-risk cancer, and according to surgical pathology based on a retrospective analysis of an international, multi-institutional cohort salvage radical prostatectomy series, extra-prostatic extension is present in 45%, seminal vesicle invasion in 30% and lymph node involvement in 16%.⁹⁴ It is also believed that many patients who fail radiotherapy come to the attention of the urologists very late in the course of events⁹⁵ and may have micro-metastatic disease at the time of salvage therapy. It is not surprising therefore that oncological success in the salvage setting is reduced compared with that of a primary setting. Despite this, CA is recognized as a first-line salvage therapy especially as technical improvements have further reduced the side effect profile.^{95,96} In a recent review, Mouraviev *et al.*⁹⁶ report that bDFS following salvage CA is estimated to be 50–70% at 5-year follow-up in properly selected patients but noted that definitions of PSA failure vary limiting comparisons between the different salvage methodologies.⁹⁶ When salvage CA is used for radiotherapy failure, Pisters *et al.*,⁹⁷ reporting on 279 patients from the COLD Registry, demonstrated a bDFS rate of 55% and 59% using Phoenix and ASTRO, respectively. Speiss *et al.*^{98,99} used the COLD registry to report on the percentage of patients undergoing salvage CA who achieved the therapeutic 'bifecta' of a postcryo nadir PSA $< 0.6 \text{ ng ml}^{-1}$ and who remained continent. In 183 evaluable men, the bifecta was achieved in 73%, with mean duration of follow-up being 3 years. Williams *et al.*¹⁰⁰ reported a single-center series of 176 patients with an average follow-up of 7.5 years, with 30% of patients evaluated > 10 years. In this study, the 10-year DFS was 39% although the more favorable patients with a presalvage PSA $< 5 \text{ ng ml}^{-1}$ had a 10-year DFS of 64%.

In the largest series of salvage CA patients treated to date in a single center, 328 evaluable men were followed for a mean of 48 months, with 38% and 11% of patients having had at least 5- and 10-year follow-up, respectively. Disease-specific survival (DSS) was 91% and 79%, and DFS was 63% and 35%, respectively, at 5 and 10 years. Patients who eventually died of prostate cancer had a significantly higher median PSA level prior to salvage CA (6.1 , range: $0.1\text{--}112.4 \text{ ng ml}^{-1}$) compared with those who survived (4.0 , range: $0.1\text{--}45 \text{ ng ml}^{-1}$). Only the PSA nadir following salvage CA was significantly associated with cancer recurrence and DSS in multivariable analyses.¹⁰¹

Renal CA outcomes

Cryotherapy has been utilized to treat kidney tumors with success for nearly two decades. This approach has included open, laparoscopic and percutaneous methods, with the latter two being more common. In general, renal CA targets smaller tumors ($< 3.5 \text{ cm}$), and patients are generally older and in overall poorer health.^{102,103} The renal cryotherapy series tend to encompass fewer patients, and the majority of reports in the literature represent short-term outcomes.

Aron *et al.*¹⁰² report on a group of 340 patients treated with laparoscopic renal CA by a single surgeon and prospectively followed. The median follow-up was 8 years (range 5–11 years), with 80 of those patients having had a minimum of 5-year follow-up. Posttreatment surveillance included a biopsy at 6 months and an annual magnetic resonance imaging. Mean tumor size was 2.3 cm ($0.9\text{--}5.0 \text{ cm}$) and median American Society of Anesthesiologists score was 3. The 5-year DSS and DFS was 92% and 81% and at 10 years were 83% and 78%, respectively.

Lusch *et al.*¹⁰³ reported on 5-year outcomes for a large international multi-institutional experience from eight academic medical centers. Of the 256 evaluable patients treated with either percutaneous or laparoscopic renal CA, 149 had a minimum of 5-year (range 60–106 months) follow-up. Mean tumor size was 2.6 cm ($0.9\text{--}6 \text{ cm}$) with a median American Society of Anesthesiologists score of 3. Five-year DSS and DFS rates were 96% and 87%, respectively.

SUMMARY

The complexity of cancers' defensive strategies challenge the concept of 'cure' when the treatment options are limited to traditional monotherapies. Many emerging therapeutic strategies rely on additive, sequenced (bundled) monotherapies with the intent of targeting a second or even a third defensive cell signaling pathway. CA offers a multiplicity of damaging consequences to all cells within the tumor microenvironment thereby creating a lethal state incompatible with initiation of any defensive strategy, including growth, re-differentiating or senescence. Even before the disruptive physical effects of the freezing cascade (that is, physical damage to cell membranes and hyperosmotic shock), cells of the tumor microenvironment experience severe oxidative stress due to thermal energy depletion. Although not immediately lethal, the multiple stressors (Table 2) initiated upon thawing result in the activation of a diverse molecular response leading to the post-operative activation of cell death cascades.

A key feature of CA is the non-repetitive or single-session application denying cells within the tumor environment, including cancer cells, CSCs, tumor-associated fibroblasts and macrophages, from launching defensive strategies or further mutation. Upon thawing, structurally damaged cells release chemokines and cytokines as they undergo necrosis. For cells that may avoid a lethal level of membrane disruption (that is, in the freeze zone margin), apoptotic cascades are initiated over the initial 12–24-h postthaw period. As apoptosis is an energy-requiring process, the destruction of the tumor vasculature yields a hypoxic

environment, resulting in a transition from apoptosis to secondary necrosis. The duration of this secondary necrosis is related to tumor volume and can last days to weeks.

The molecular challenges faced by cancer cells during and following CA are sufficient to prevent the induction of survival mechanisms. Although dividing cells are likely more susceptible to the process, any cell exposed to a nadir temperature of -20 to -50°C will be ablated regardless of cell cycle stage. The use of 'cryo-sensitizers' enhances cell lethality to assure complete destruction throughout the freeze zone. In effect, cryoablative strategies provide a combinatorial challenge to the tumor microenvironment not attained with traditional monotherapies.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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